

Fee Schedule

SERVICES		FEE TYPE	CHARGES
CUSTODY SERVICES			
CDP Sub-Account	CDP maintenance fee:	First S\$0 to S\$20M Next S\$20M to S\$1 B Any remaining AUM above S\$1 B (minimum fee S\$2.00, maximum S\$12,500 per sub per sub account per quarter)	: 0.25bps [#] per annum : 0.15bps [#] per annum : 0.1 0bps [#] per annum
	Administrative fee:	S\$20* per quarter (end Mar, Jun, Sep, Dec)	
Foreign Custody		Maintenance Fee~	S\$2* per counter/month
		Maintenance Fee Waiver • at least 2 trades per month or 6 trades per quarter (end Mar, Jun, Sep, Dec) • duration of a suspended counter	Max. S\$150* per quarter (end Mar, Jun, Sep, Dec)
		Portfolio Fee (Add-on maintenance fee for Korea market)	0.05% p.a. of portfolio value, chargeable at the end of each month, valuation is based on last done price at the end of each month.
		Portfolio Fee (Add-on maintenance fee for Taiwan market)	0.07% p.a. of portfolio value, chargeable at the end of each month, valuation is based on last done price at the end of each month.
		Portfolio Fee (Add-on maintenance fee for Vietnam market)	0.09% p.a. of portfolio value, chargeable at the end of each month, valuation is based on last done price at the end of each month.
		Portfolio Fee (Add-on maintenance fee for Philippines market)	0.065% p.a. of portfolio value, chargeable at the end of each month, valuation is based on last done price at the end of each month. Subject to min USD6.50
For Bonds and Notes (with maturity date > 1 year)		Maintenance Fee~	S\$75* per Bonds/Notes per quarter, as long as you hold the bond or notes during the quarter even just for one day. We will offset the maintenance fee against sale proceeds or redemption amount if there is no holding in the custody account.(end Mar, Jun, Sep, Dec)
SHARES TRANSFER (FOR SINGAPORE SHARES)			
Transfer of securities from CGS-CIMB Securities to other local brokerages or Financial Institutions (For no change in b.o.^)		Handling Fee	S\$20* per counter
		Agent Fee	S\$5* per counter
		RTGS (add-on fee)	S\$5* per counter (If using RTGS)
Transfer of securities with CGS-CIMB to/from other local brokerages/Financial Institutions (For change in b.o.^)		Handling Fee ⁽¹⁾	Minimum S\$200* per counter
		Agent Fee	1.5 bps [#] per counter per account per transfer, with a minimum of S\$75*
		RTGS (add-on fee)	S\$5* per counter (If using RTGS)

SHARES TRANSFER (FOR SINGAPORE SHARES)

Transfer of securities from other brokerage houses into CGS-CIMB Securities (For no change in b.o.^)	Handling Fee	Nil
	Agent Fee	S\$5* per counter
	RTGS (add-on fee)	S\$5* per counter (If using RTGS)
Transfer of securities from Client's direct account with CDP into CGS-CIMB Securities (For no change in b.o.^)	Handling Fee	Nil
	Agent Fee	S\$10* per counter
Transfer of securities within CGS-CIMB to client's direct account with CDP (For no change in b.o.^)	Handling Fee	S\$20* per counter
	Agent Fee	S\$10* per counter
Transfer of securities with CGS-CIMB to/from Client's direct account with CDP (For change in b.o.^)	Handling Fee	Minimum S\$200* per counter
	Agent Fee	3 bps [#] per counter per transfer, with a minimum of S\$150*
Transfer of securities within CGS-CIMB Securities (For no change in b.o.^)	Handling Fee	S\$20* per counter
	Agent Fee	S\$10* per counter
Transfer of securities within CGS-CIMB Securities (For change in b.o.^)	Handling Fee ⁽¹⁾	Minimum S\$200* per counter
	Agent Fee	3 bps [#] per counter per transfer, with a minimum of S\$150*
Transfer from a Joint a/c to an individual a/c or vice versa or within family members a/c with CGS-CIMB Securities	Handling Fee	S\$20* per counter
	Agent Fee	S\$10.00* per counter per transfer

SHARES TRANSFER (FOR NON-SINGAPORE SHARES)

Transfer of securities from CGS-CIMB Securities to other local brokerages	Handling Fee	S\$50* per counter
	Agent Fee	If applicable
Transfer of securities from CGS-CIMB Securities to Financial Institutions (e.g. Banks) other than local brokerages	Handling Fee	S\$20* per counter
	Agent Fee	If applicable
Transfer of securities from other brokerage houses into CGS-CIMB Securities	Handling Fee	Nil
	Agent Fee	If applicable
Transfer of securities within CGS-CIMB Securities (For no change in b.o.^)	Handling Fee	S\$20* per counter
	Agent Fee	Nil
Transfer from a Joint a/c to an individual a/c or vice versa or within family members a/c with CGS-CIMB Securities (if allowed)	Handling Fee	S\$20* per counter
	Agent Fee	If applicable
For Cross Border Transfer	Handling Fee	S\$20* per counter
	Agent and Courier Fees	If applicable
	Other Fees	Applicable charge

MARGIN TRADING ACCOUNT

Interest on Debit Balance	12 calendar days interest free inclusive of Trade Date	SGD: 1-Month SIBOR + 5.85% p.a. HKD: 1-Month HIBOR + 5.65% p.a. USD: 1-Month LIBOR + 5.85% p.a. starting on the 13th calendar day inclusive of Trade Date
	non 12 calendar days interest free	SGD: 1-Month SIBOR + 5.85% p.a. HKD: 1-Month HIBOR + 5.65% p.a. USD: 1-Month LIBOR + 5.85% p.a. from Due Date
For shares to be picked up from Cash Trading Account	Handling Fee	S\$10* per counter
For all securities or underlying instruments movements from CGS-CIMB Securities sub-account to other house's CDP sub-account (For no change in b.o.)	Securities Withdrawal Fee	¼% of security value subject to minimum of S\$100* per account
	Handling Fee	S\$20* per counter
	Agent Fee	S\$5* per counter
	RTGS (add-on fee)	S\$5* per counter (If using RTGS)
For Rights Application	Handling Fee	S\$30* per counter

SECURITIES BORROWING ACCOUNT

Borrowing Request per Counter	Administrative Fee	S\$40*
	CDP Transfer Fee	S\$10* per counter per borrow S\$10* per counter per return
Borrowing Fee		8% p.a. on the Daily Market Value of Shares Borrowed
Interest on Debit Balance		6% p.a.

CORPORATE ACTIONS

Dividend / Coupon Payment	Handling Fee** (For Cheque)	S\$10* per counter
	Handling Fee** (For Direct Crediting to Trust Account/ Multi-Currency Trust/Margin Account)	S\$3* per counter
	Handling Fee** (For Direct Crediting to other Banks)	S\$5* per counter
Cash Offer/ Redemption / Others	Handling Fee	S\$10* per counter
	Agent & Courier Fees	If applicable
Rights Issue / Warrant Conversion	Handling Fee	S\$30* per counter
Bonus Issue	Handling Fee	Nil
	Agent Fee	If applicable
Cash in Lieu	Handling Fee	S\$5* per counter
Proof of Claim	Handling Fee	S\$30* per counter
Proxy Form	Handling Fee	S\$30* per counter

MISCELLANEOUS SERVICE

Share Certificate Registration Fees	Courier fee	S\$16* - S\$30* (depending on country)
	Stamp Fee (For change in b.o. ^{1^})	0.20% of market price + S\$3 per counter
	Stamp Fee (For no change in b.o. ^{1^})	S\$13 per counter
	Certificate Fee	S\$2* per certificate (SG Counter)
CGS-CIMB Securities	Handling Fee	S\$30* per counter
	UK Agent Fees	USD 60 per certificate reference
	Cheque Replacement	Handling Fee
Outward Remittance	Banker's Draft	Applicable Bank Charges
	Telegraphic Transfer	Applicable Bank Charges
Bond Custodies with CDP (For Sales Transactions)	Handling Fee	S\$15* per sales transaction
Ledgers/Statement Request	Administrative Fee	S\$30* (per statement)
Transaction Confirmation	Administrative Fee	S\$20* (per request)
Account Confirmation	Administrative Fee	S\$10* (per request)
Audit Confirmation	Handling Fee	S\$50* (per request)

* Subject to applicable GST rate.

[^] Beneficiary Owner.

** If the dividend amount is less than handling fee, the handling fee will be equal to the dividend payment. Dividend and cash offer for non-S\$ denominated shares will be paid in S\$ unless client submits written request.

- Maintenance fee will be automatically deducted from sale proceeds, contra profit and dividends due for payment or from SGD trust account.

⁽¹⁾ CGS-CIMB Securities will impose higher charges if the transfer is onerous. If you are in doubt, please contact our Call Centre at 1800 5389889.

Other charges from time to time imposed by CGS-CIMB Securities may not be included in this schedule. Fees are subject to change from time to time without prior notice.

[#] Based on previous day market closing price of shares transferred effective date.

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